


209

<b>AMENDMENT TRANSMITTAL FORM</b> 		Application Number	10/501,326
		Filing Date	1/24/2003
		First Named Inventor	DUNNE
		Art Unit	3691
		Examiner Name	Campan
Total Additional Fees in This Submission		Attorney Docket Number	23-002-TN-RCE

TO THE COMMISSIONER FOR PATENTS:

The transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

- ☒ Enclosure(s):
- ☐ Drawings
- ☒ Other enclosures (identify below)  
Substitute Specification

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23	MINUS	20	3	\$52	156
INDEP. CLAIMS	1	MINUS	3	0	\$220	0

☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application for:

- |                          |                                 |         |
|--------------------------|---------------------------------|---------|
| <input type="checkbox"/> | one month (37CFR 1.17(a)(1))    | \$130   |
| <input type="checkbox"/> | two months (37CFR 1.17(a)(2))   | \$490   |
| <input type="checkbox"/> | three months (37CFR 1.17(a)(3)) | \$1,110 |

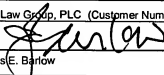
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT

\$

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the TOTAL ADDITIONAL FEE FOR THIS AMENDMENT is reduced by half, and is:

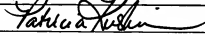
\$78

- ☒ A check in the amount of \$ 78 is attached. Please charge any additional required fees to Deposit Acct 50-1147.
- ☐ Please charge the fee set forth above and any additional required fees to Deposit Account 50-1147.
- ☐ No fee is believed to be required. However, please charge any unforeseen fees to Deposit Account 50-1147

SIGNATURE OF ATTORNEY			
Firm Name	Posz Law Group, PLC (Customer Number 23400)		
Signature			
Printed name	James E. Barlow		
Date	9 January 2009	Reg. No.	32,377

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Patricia Kushin	Date	9 January 2009



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DUNNE

Serial No.: 10/501,326

Filed: 1/24/2003

Title: METHOD OF ANALYZING  
INVESTMENTS USING OVERLAPPING  
PERIODS

Atty. Dkt.: 23-002-TN-RCE

Art Unit: 3691

Examiner: Campen

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: 9 January 2009

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on this date: 9 January 2009

Typed Name: Patricia Kushin

Signature: \_\_\_\_\_

**AMENDMENT UNDER 37 CFR 1.111**

Sir:

In response to the office action mailed 15 October 2008, please amend the application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims that begins on page 3 of this paper.

**Remarks** begin on page 9 of this paper.

01/12/2009 EAREGAY1 00000011 10501326

01 FC:2202

78.00 OP



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DUNNE

Serial No.: 10/501,326

Filed: 1/24/2003

Title: METHOD OF ANALYZING  
INVESTMENTS USING OVERLAPPING  
PERIODS

Atty. Dkt.: 23-002-TN-RCE

Art Unit: 3691

Examiner: Campen

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: 9 January 2009

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on this date: 9 January 2009  
Printed Name: Patricia Kushin

Signature: 


**SUBSTITUTE SPECIFICATION UNDER 37 CFR 1.125**

Sir:

Please enter the following substitute specification. No new matter has been entered. A marked up copy of this substitute specification is not included because the changes to the specification involve only matters of form.

Please charge any unforeseen fees that may be due to Deposit Account No. 50-1147.

Respectfully submitted,

  
James E. Barlow  
Reg. No. 32,377

Posz Law Group, PLC  
12040 South Lakes Drive, Suite 101  
Reston, VA 20191  
Phone 703-707-9110  
Fax 703-707-9112  
Customer No. 23400